

Predicting small mammal and flea abundance using landform and soil properties in a plague endemic area in Lushoto District, Tanzania

JOEL L. MELIYO¹, DIDAS N. KIMARO², BALTHAZAR M. MSANYA^{1*}, LOTH S. MULUNGU³, PROCHES HIERONIMO³, NGANGA I. KIHUPI², HUBERT GULINCK⁴ and JOZEF A. DECKERS⁴

¹Department of Soil Science, Sokoine University of Agriculture, P.O. Box 3008, Morogoro, Tanzania

²Department of Agricultural Engineering and Land Planning, Sokoine University of Agriculture, P.O. Box 3003, Morogoro, Tanzania

³Pest Management Centre, Sokoine University of Agriculture, P. O. Box 3110, Morogoro, Tanzania

⁴Department of Earth & Environmental Sciences, Katholieke Universiteit Leuven, Celestijnenlaan 200 E, B-3001 Heverlee, Belgium

Abstract: Small mammals particularly rodents, are considered the primary natural hosts of plague. Literature suggests that plague persistence in natural foci has a root cause in soils. The objective of this study was to investigate the relationship between on the one hand landforms and associated soil properties, and on the other hand small mammals and fleas in West Usambara Mountains in Tanzania, a plague endemic area. Standard field survey methods coupled with Geographical Information System (GIS) technique were used to examine landform and soils characteristics. Soil samples were analysed in the laboratory for physico-chemical properties. Small mammals were trapped on pre-established landform positions and identified to genus/species level. Fleas were removed from the trapped small mammals and counted. Exploration of landform and soil data was done using ArcGIS Toolbox functions and descriptive statistical analysis. The relationships between landforms, soils, small mammals and fleas were established by generalised linear regression model (GLM) operated in R statistics software. Results show that landforms and soils influence the abundance of small mammals and fleas and their spatial distribution. The abundance of small mammals and fleas increased with increase in elevation. Small mammal species richness also increases with elevation. A landform-soil model shows that available phosphorus, slope aspect and elevation were statistically significant predictors explaining richness and abundance of small mammals. Fleas' abundance and spatial distribution were influenced by hill-shade, available phosphorus and base saturation. The study suggests that landforms and soils have a strong influence on the richness and evenness of small mammals and their fleas' abundance hence could be used to explain plague dynamics in the area.

Keywords: landform, soil properties, small mammals, flea, abundance, plague, Tanzania

Introduction

Small mammals (particularly rodents) are considered to be the primary natural hosts and reservoirs of *Yersinia pestis*. Worldwide, this disease infects about 203 rodent species (Gage & Kasey, 2005; Sternest *et al.*, 2008). Several studies in the West Usambara Mountains in Lushoto Tanzania have shown a large diversity of small mammals and fleas implicated as plague hosts and vectors, respectively (Kilonzo & Msangi, 1991; Laudisoit *et al.*, 2007). Furthermore, Kamugisha *et al.* (2007) and Neerinckx *et al.* (2010) have found that plague reported cases were located at elevations above 1,500m in Lushoto, Tanzania. Similar results have been reported in Uganda that plague cases are common in areas above 1300m (MacMillan *et al.*, 2011; Eisen *et al.*, 2012). The above studies agree with Pavlovsky (1966), Rotshild (1978) and Meade & Earickson (2000) who associated the occurrence of plague disease with landform factors, which are envisaged to influence presence, reproduction of hosts and vectors and their interactions with humans.

In addition, soil may be an important reservoir, with burrowing animals acting as the first link in the transmission chain to other animals and humans through flea bites (Drancourt, 2006). Some literature indicates that plague persistence in natural foci has a root cause in soils (Breneva

* Correspondence: Balthazar M. Msanya; E-mail: bmmsanya@gmail.com

et al., 2006; Eisen et al., 2008). This indication has been supported by the evidence that *Y. pestis* can survive in natural soil conditions from 24 days (Eisen et al., 2008) to 40 weeks (Ayyadurai et al., 2008). Additionally, there is a long standing hypothesis linking enzootic and epizootic plague cycles with soil micro-organisms like amoeba and soil organic matter (Anisimov, 2002) which come in contact with burrowing rodents. Through interaction of sylvatic and commensal rodents disease pathogens are exchanged through vectors such as fleas (Witmer, 2004).

It is envisaged that understanding landforms and the associated soil properties in the West Usambara Mountains may provide an insight into plague foci, with respect to rodents as plague hosts, and hence explain the differences in plague occurrence between neighbouring villages. This study was aimed at examining landforms and associated soil properties in relation to the presence of small mammals (rodents) and fleas, in order to contribute information to explain the presence and persistence of plague focus in West Usambara Mountains in north-eastern Tanzania.

Materials and methods

Study area

The study was carried out between September 2009 and June 2013 in the West Usambara Mountains, Lushoto District, Tanzania, in a rectangular section between 4°55'S, 38°14'E (northwest corner) and 4°71'S, 38°33'E (southeast corner). The elevation of the study area ranges from 300 to 2,270m above sea level. Lushoto is among the most densely populated districts in Tanzania, with population density of 254 persons per km², as compared with the national average of 39 persons per km² (URT, 2013).

The topography in the study area is characterised from west to east by an undulating to rolling plain, sided by a rocky escarpment with an abrupt vertical rise. The escarpment is adjoined with a strongly dissected plateau composed of narrow crests and steep sided ridges separated by narrow valley bottoms, some with permanent streams. The annual precipitation varies from 600mm in the Plain to 900mm in the Escarpment and the Plateau. The average annual temperature ranges from 27°C to 17°C in a toposequence from the Plain to the Plateau.

Dominant soils are a continuum of shallow to very deep, red to dark reddish brown topsoils overlying well drained, red to yellowish red subsoils. Imperfectly drained soils dominate the valley bottoms. Soils of the Escarpment are shallow and rocky with pockets of deep, well drained to exhaustively drained soils. Natural forest reserve (Magamba) and plantation forests (Shume-Nywelo) dominate the south-western part of the study area, whereas agricultural activities intermittent with woodlots and agro forestry are dominant in the other parts of the Plateau.

Determination of landform attributes

A digital elevation model was obtained from Advanced Spaceborne Thermal Emission and Reflection Radiometer, Global Digital Elevation Model (ASTER-DEM) with 30 m ground resolution. It was used to derive landform attributes i.e. elevation, slope gradient, slope aspect, slope curvature (plan, profile, tangential, cross-section and general curvatures), and hill-shade. Continuous surface flow direction and flow accumulation were computed using Algorithms available in ArcGIS 9.3 Tool Box. Intensive field verification was done. Sites at which soil sampling and trapping of small mammals were carried out were superimposed and point data from individual landform characteristics were extracted using ArcGIS 9.3. The point data were used as landform variables in regression analysis.

Determination of soil properties

In each representative landform, soil samples were taken from surface soils at a depth of 0-45 cm, which is considered the maximum depth of most rodent burrows (Brabers, 2012). Soil

samples were analysed in the laboratory for texture, pH_{water}, total nitrogen (TN), organic carbon (OC), cation exchange capacity (CEC), exchangeable bases and micronutrients as per procedures described by Moberg (2000). A total of 57 soil samples were collected from corresponding 57 geo-referenced sites. The laboratory data were organised in spreadsheets for regression analysis.

Determination of the abundance of small mammals and fleas

Trapping of small mammals was conducted twice, between December 2009 and March 2010, on sites where soil samples were collected. Three types of traps were used to capture small mammal species in the field: (i) Sherman live traps (23x9.5x8cm), (ii) locally made wire cages (for bigger sized small mammals like squirrel, genetta), and (iii) the pitfall traps (10-litre plastic buckets). The total number of traps was 300 of which 270 were Sherman, 15 wire cages and 15 pitfalls. The traps were provided with bait and arranged in lines of 10 and placed 10 m apart. They were left open during the day and night for two consecutive nights. The baits used were plant butter mixed with bran roasted maize grain and sardine. Traps were inspected every morning between 08.00 and 10.00hr whereby those with catches were replaced by spare traps and bait. The trapped small mammals were counted, measured and identified to genus/species level following the nomenclature by Kingdon (1974, 1997). The fleas were removed from small mammals by brushing the fur using ethanol, counted, recorded and stored for identification in the laboratory. The data for small mammals and fleas were organised per specific landform in a spread sheet for data analysis.

Data analysis

Species diversity was measured using the Shannon Index (Shannon, 1948) as follows:

$$H' = - \sum_{i=1}^S \frac{ni}{N} \ln \left(\frac{ni}{N} \right) \dots \dots \dots (1)$$

Where: H' = is the Shannon diversity index,

S = species richness (number of species), and

ni = is the number of individuals in the ith species,

$\frac{ni}{N}$ = proportion abundance contributed by the ith species to the total

N = is the total number of individuals small mammals.

Relative abundance of small mammals was estimated by the formula:

$$\text{Relative abundance (RA)} = \frac{\text{Number of individuals captured}}{\text{Number of trap-nights (TN)}} \times 100 \dots \dots \dots (2)$$

where one trap night = one trap set for one night.

Regression analysis was used to establish relationships between small mammals' and fleas' abundances (dependent variables) and landform attributes and associated soil properties (independent variables). This was done using generalised linear Model (GLM) in R software (Fellows, 2012). The occurrence of small mammals and fleas in different landforms was validated using model goodness of fit given by pseudo R-squared (D²) and Akaike information criteria (AIC), whereby a model with the smallest AIC and high pseudo R-squared was selected as good among different regression repeats.

Ethical considerations

This study received approval from Directorate of Research and Post-Graduate Studies of Sokoine University of Agriculture, Tanzania and Flemish Inter-University Council (VLIR-UOS) of Belgium.

Results

Landform characteristics

Figure 1 presents major landforms and their associated characteristics, which are strongly influenced by geologic composition, folding and faulting (Figure 2). Field and geologic data show elevation differences and strong dissection as indicated by cross-section A-B, and a complex system of elongated terraced ridges separated by narrow to wide drainage U-shaped valleys (converging hydrological flows). The steep slopes between dissected ridges are characterised by localised rock outcrops, scarps and in places landslide scars with shallow soils (Figure 1 and 2).

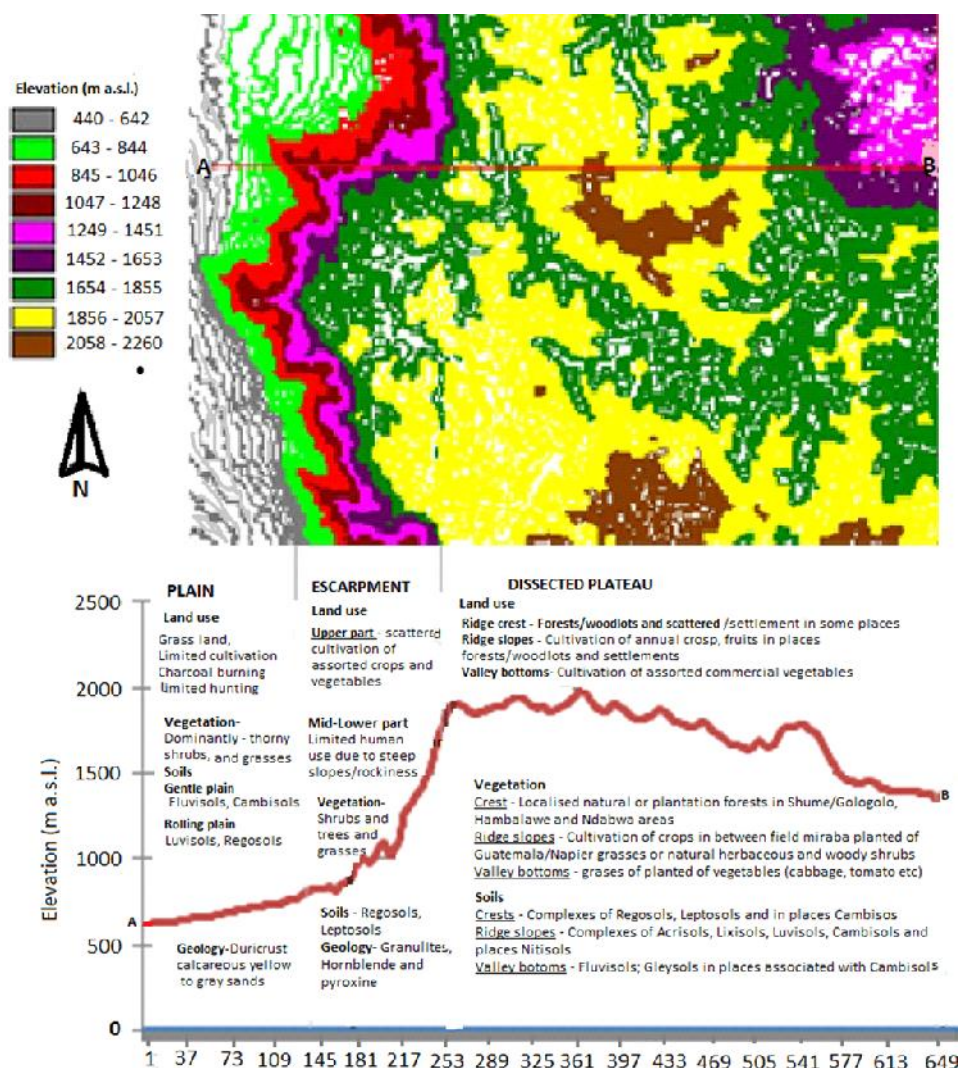
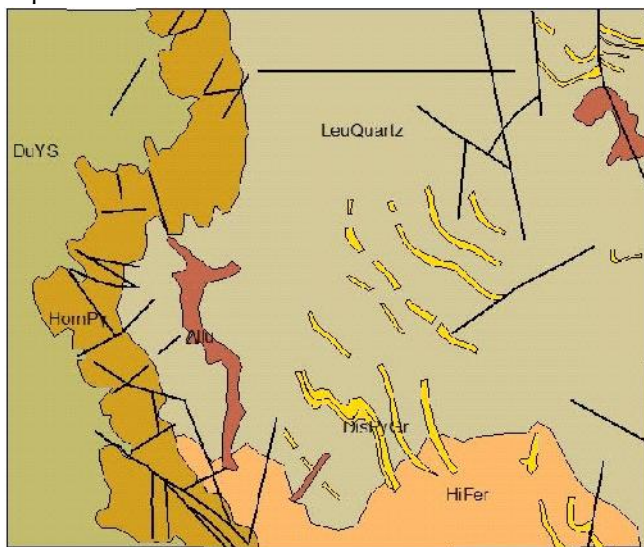


Figure 1: Major landform characteristics of the study area

The study area is dominantly covered by three major types of rocks corresponding to the studied three major landforms (Figures 1 and 2). The plain part of the study area is mainly covered by duricrust calcareous yellow to grey sands, while the escarpment is composed of undifferentiated granulites and distinctive bands of hornblende and pyroxene. The plateau dominantly has leucocratic quartzo-feldspathic granulites, khondalites and high level ferralitic red earths with inclusions of distinctive bands predominated by hornblende, pyroxene and granulite rocks and minerals and in depressions alluvium. The different geologic rocks weather differently when

acted upon by land forming processes to form the observed landforms and soils. These in turn influence surface and subsurface water flows, vegetation, food and water availability which impact on small mammals' abundance.



KEY


-  Fault lines
-  Allu Alluvium
-  DistGr Distinctive band predominated by hornblende, pyroxene granulites
-  DuYS Duricrust calcareous, yellow to grey sands
-  HiFer High level ferrallitic red earths
-  LeuQuartz Leucocratic quartzo-feldspathic granulite, Khondalites
-  HornP Undifferentiated granulites and distinctive bands of hornblende, pyroxene

Figure 2: Spatial distribution of geologic composition showing dominant rocks and fault lines

Step slopes along edges of fault lines are characterised by deep incision due to hydrological accelerated weathering of rocks as rain water flows along and within the cracks resulting into canyons, rock lees, and with time narrow valleys (Figure 3). It also shows pockets of soils and vegetation establishment due to fault lines' influence on surface water flows which in many instances serve as streams or river channels. The far end show trees along the fault line.

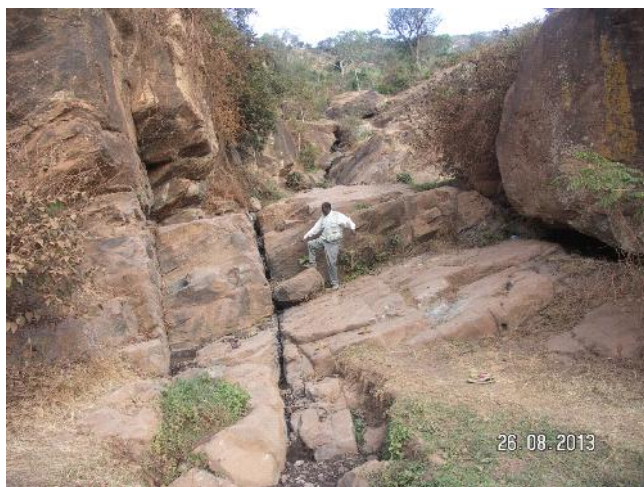


Figure 3: A fault line in the escarpment showing rock outcrop, with crevices and rock lees which serve as hiding places to small mammals

Figure 4 presents the slope aspect, a multi-directional representation of surface slopes in degrees reading clockwise from 0° (North), 90° (East), 180° (South) 270° (West). Aspect influences the intensity and duration of solar energy the surface receives and hence rock weathering into soils and vegetation growth. So it differentiates the landscape in terms of food resources and habitats for small mammals.

Soil properties associated with studied landforms

Table 1 presents soil properties in the study area depicting correlation of soil parameters with the studied landforms. Results show that soils have more sand in the Plains which decreases with elevation and becomes clayey in the Plateau. The organic carbon content varies with landform units, with values ranging from 0.6 to 2.9 % for the Plain and Plateau respectively. Results further show that micronutrients show an increase from low in the Plain to very high levels of iron (Fe) and manganese (Mn) in the Plateau. Copper (Cu) and zinc (Zn) levels are within most plant critical levels (Deb & Sakal, 2002) in the Plateau.

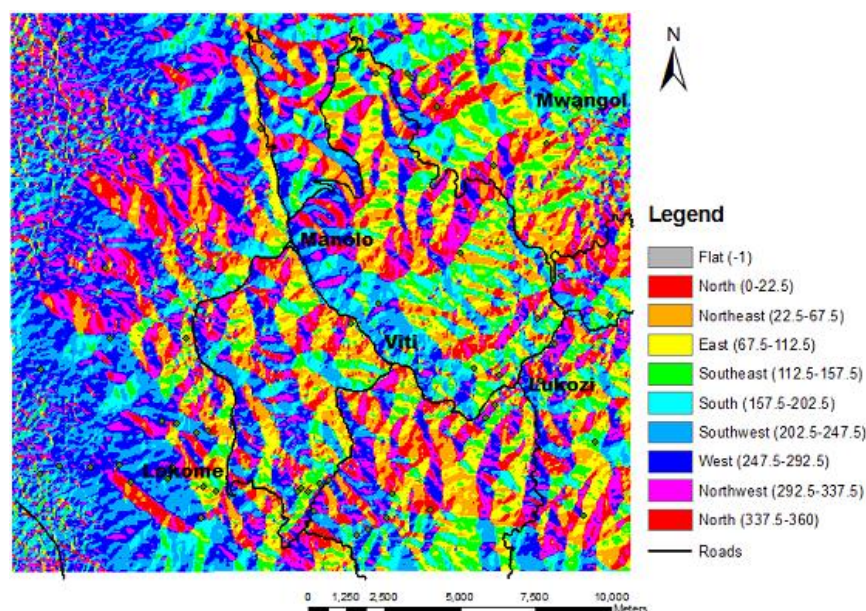


Figure 4: Slope aspect of the study area, showing different directions the slope is pointing

Table 1: Selected soil physical and chemical properties in the study area (n=57)

Landforms	Particle size distribution			pH (H ₂ O)	OC (%)	CEC cmol (+)/kg soil	Exchangeable bases			DTPA micronutrients		extractable	
	Clay (%)	Sand (%)	Silt (%)				Ca	Mg	K	Fe	Mn	Cu	Zn
							cmol(+)/kg soil			mg/kg soil			
Plain	18.41	69.74	11.85	7.9	0.61	12.1	8.9	2.9	0.34	10.6	47.4	2.27	0.29
Escarpment	26.59	61.15	12.26	7.6	2.77	18.1	13.9	1.9	0.29	29.53	139.6	2.16	1.73
Plateau	52.48	38.7	8.82	5.7	2.91	18.3	7.1	2.2	0.15	107.3	63.1	2.07	1.62

Small mammals and fleas abundance along the studied landforms

Results show that the abundance of small mammals and fleas, and small mammal species diversity increased with increasing elevation. The small mammal species relative abundance shows that *Praomys spp.*, *Mastomys spp.* and *Lophuromys spp.* are dominant rodent species on

the plateau, whereas *Grammomys spp.*, *Lophuromys spp.*, *Aethomys spp.* and *Otomys spp.*, dominate the escarpment and *Acomys spp.*, *Praomys spp.* and *Paraxerus spp.* are dominantly found in the plain. Results further show that *Mastomys spp.*, are also found in the upper part of escarpment characterised by crop cultivation (Table 2).

Table 2: Small mammal abundance and species diversity and corresponding flea abundance

Landforms	Altitude range (m)	Slope gradient (%)	Genus/Species	Mammal abundance	Fleas Abundance	Mammal diversity index
Undulating plain	510-531	4-7	<i>Acomys</i>	46.7	14	
			<i>Mungos</i>	12.5	0	
			<i>Paraxerus</i>	16.7	0	
Rolling Plain	696	12-15	<i>Genetta</i>	9.1	5	1.58
			<i>Paraxerus</i>	21.4	1	
			<i>Acomys</i>	50.0	0	
			<i>Praomys</i>	33.3	0	
Lower escarpment	765-807 878	15-30 56	<i>Aethomys</i>	16.7	15	
			<i>Acomys</i>	12.5	0	
Upper escarpment	1159-1604 1123-1604	32-80 45-55	<i>Aethomys</i>	43.8	5	1.62
			<i>Grammomys</i>	50.0	1	
			<i>Lophuromys</i>	50.0	4	
			<i>Mastomys</i>	50.0	0	
			<i>Otomys</i>	50.0	0	
Plateau	1885 1887	23	<i>Aethomys</i>	50.0	2	
			<i>Crocidura</i>	60.0	0	
Sloping ridge of Plateau	1771-1918	20-90	<i>Grammomys</i>	68.6	40	1.69
			<i>Lophuromys</i>	71.8	19	
			<i>Mastomys</i>	110.8	32	
			<i>Mouse legeda</i>	36.4	0	
			<i>Praomys</i>	100.0	44	
			<i>Rattus rattus</i>	40.0	2	
			<i>Crocidura</i>	33.3	0	

Table 3: Soil and landform predictors for small mammals' distribution along the landscape

S/ No.	AIC	Pseudo R-squared	Statistically significant predictors
1	247.1	55.2	Aspect ** Ap-base saturation * Elevation ** Available Bray P ***
2	250.3	61.2	Aspect * Ap-base saturation Elevation ** Available Bray P ***
3	255	49.4	Elevation ** Ap-sand Available Bray P ***
4	256.6	50.2	Elevation ** Available Bray P ***
5	257.5	42.4	Elevation * Available Bray P

Significant codes: 0 '****' 0.001 '***' 0.01 '**' 0.05 '*' 0.1 '.' 1; (Dispersion parameter for Gaussian family; Null deviance: 5524.2 on 32 degrees of freedom, Residual deviance: 1850.7 on 24 degrees of freedom. AIC=Akaike Information Criterion: 246.53); Ap= surface soil layer

Influence of landforms and associated soil properties on small mammals abundance

The results demonstrate a significant relationship between the occurrence of small mammals and fleas with elevation and aspect. Available phosphorus (P) showed a significant correlation with the occurrence of small mammals and fleas. The best two models explained between 55 to 61% (Table 3) of the variance observed in the prediction of small mammals' abundance.

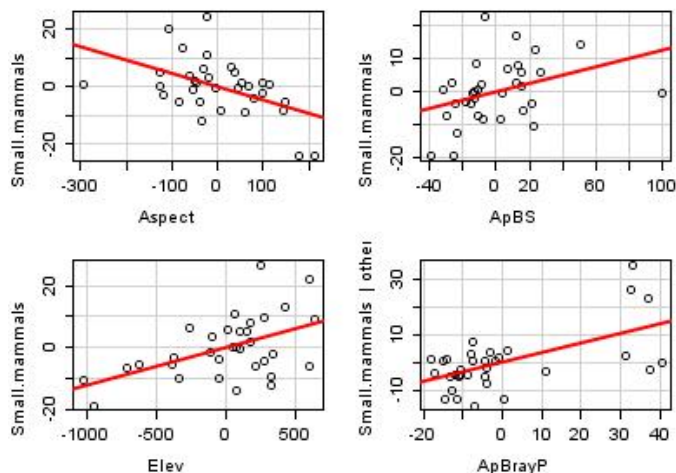


Figure 5: Influence of landform and soil properties on the occurrence of small mammals

(Slope aspect = aspect of the surface towards the direction of great gradient (ApBS = topsoils (0-45 cm) base saturation of soils; ApBrayP = Available Bray phosphorus; Elev = Elevation of the area)

Figure 5 presents results which identify elevation as the most influencing landform factor. The number of small mammals increases with increasing elevation

Influence of landforms and associated soil properties on flea abundance

Table 4 presents the influence of landforms and soil properties on fleas' abundance. The results show that hill-shade and exchangeable magnesium negatively influence flea abundance, significantly at $p=0.001$ and $p=0.01$ respectively. Results further show that available soil phosphorus, base saturation and organic carbon positively influence flea abundance, significantly at probability of $p=0.01$ and $p=0.05$, respectively.

Table 4: Landforms and top-soil predictors of fleas' abundance

Variables	Coefficient estimate	Std. Error	t value	Pr(> t)	
(Intercept)	20.889	12.493	1.67	0.1075	
Elevation (m)	0.0097	0.005	1.82	0.0821	
Hill-shade	-0.3039	0.066	-4.63	0.00011	***
Flow direction	0.0665	0.038	1.74	0.0950	
OC (%)	2.9399	1.409	2.09	0.0477	*
Available Bray P	0.3319	0.094	3.55	0.0016	**
Exchangeable Ca	-0.6331	0.465	-1.36	0.1856	
Exchangeable Mg	-3.6939	1.670	-2.21	0.0368	*
Base saturation	0.4296	0.138	3.11	0.0048	**

Significant codes: 0 '***' 0.001 '**' 0.01 '*' 0.05 '.' 0.1 ' ' 1

(Dispersion parameter for Gaussian family; Null deviance: 5524.2 on 32 degrees of freedom, Residual deviance: 1850.7 on 24 degrees of freedom. AIC: 246.53)

Discussion

The observed landforms, geology and faulting in the study area are complex. The landscapes are characterised by steep upwardly convex and upwardly concave surfaces which influence convergence and divergence of water flows, soil water content and soil water retention characteristics. These conditions are reported to influence multiple factors along the land surface including soil formation and the occurrence of small mammals, fleas and human activities (Monjeau *et al.*, 1997; Jake *et al.*, 1997; Randhir, 2007) due to their influence on soil development which in turn influences vegetation establishment. The results of the current study are in line with various hydrological studies which show that slope facets on the landform influence water flows (Lindsay, 2005) and therefore food access and vegetation habitat for small mammals (Monjeau *et al.*, 1997).

Verma *et al.* (2005) and Jiang *et al.* (2006) argued that landforms greatly influence variability and distribution of soil macronutrients. Moore *et al.* (1993) and Gessler *et al.* (2000) reported that the development of catenary soil properties occurs as response to the way water moves through and over the landscape, governed by surface characteristics (convergence and divergence). Other works indicated that the soil-landscape pattern results from an integration of short and long term pedogeographic processes (Bockheim *et al.*, 2005; Van de Wauw *et al.*, 2008).

The slope aspect which is modulated by slope gradient also influences slope local climate especially solar radiation and exposure to wind (Warren *et al.*, 2013; Goudie, 2013). Slope aspect therefore impacts on vegetation habitat and differential soil development. The slope aspect is among strong predictors of small mammal abundance in the study area. This conforms to report by Urban & Swihart (2010) that species richness was influenced by slope aspect in Indiana, USA.

The current study explains the influence of landforms on the abundance of small mammals and fleas. The observed results could be attributed to a theory of elevation diversity gradient (McCain, 2005) which takes into account integration of multiple ecological factors including elevation, heterogeneity of landforms, soils, rainfall, temperature, habitat types and resources availability (Rosenzweig, 1992; Rahbek, 1995; Heaney, 2001; Sanchez-Cordero, 2001; Grytnes & Vetaas, 2002; Ackerly, 2003; Pavoine & Bonsall, 2010). Results from the current study show unique assemblages of species per landform type, with diversity and evenness increasing with elevation. These results shed light in explaining partly why there is plague where it is and why it is not where it is not in the West Usambara Mountains. For example the Plain part of the landscape was found to harbour small mammals species that have not been reported to host the plague bacterium as compared to those species in the Plateau where the plague disease has been reported frequently (Kilonzo *et al.*, 2005; Davis *et al.*, 2006).

The abundance of small mammals has been influenced by multiple ecological factors operating together. These multiple factors include elevation, slope and soil which explain in an integrated way the presence of small mammal species. According to Rosenzweig (1992), there are many mechanisms that operate together to influence biodiversity changes in communities. The mechanisms act together and in the ecosystem none works in isolation (McCain, 2005). The landforms in the study area have distinctive characteristics in terms of rainfall which is low in the Plain and increases gradually with elevation from 500 to 800 mm per year in the upper part of Escarpment and Plateau. The soils are mainly Cambisols and Fluvisols in the Plain, Regosols and Leptosols in the Escarpment and more highly developed and weathered very deep, well drained soils (Acrisols, Lixisols, Alisols and Luvisols) in the Plateau. Fluvisols and Gleysols dominate in the valley bottoms and depressions. So, the observed abundance of small mammals and their associated fleas may have possibly been dictated by multiple factors namely landforms, soils, and climate, among others. These also influence the richness, evenness and diversity of species (McCain, 2005), because they impact resource availability including food, water and shelter (Heaney, 2001; Pavoine & Bonsall, 2010).

The large number of small mammals and fleas in high elevations of the West Usambara Mountains corresponds with the high plague frequency landscapes. Already previous studies in the area have shown that every plague outbreak was preceded by rodent outbreak (Kamugisha *et al.*, 2007). Similar results have been reported by Neerinckx *et al.* (2010) and Eisen *et al.* (2012) in Tanzania and Uganda, respectively.

The influence of landforms and soil properties on small mammals' abundance shows that there was a positive correlation between available phosphorus, base saturation, and the small mammals' abundance. However, there was a negative correlation between small mammals' abundance and slope aspect in the area; and this could be attributed to the influence of slope aspect on climatic factors negatively affecting vegetation habitat, food and water resources which are important for small mammals' habitation (Busch *et al.*, 2000). Results of the current study indicate a strong influence of phosphorus on small mammals probably because of its availability in adequate levels which favours vegetation growth, hence providing cover and habitat to rodents (Mulungu *et al.*, 2008) but also food and herbage (Krebs, 2001).

The influence of landforms and soil properties on flea abundance declines with increased hill-shade, exchangeable calcium and magnesium while their population increases with increasing elevation, available phosphorus and base saturation. Although the abundance of fleas follows a similar trend as that of small mammals, literature shows that flea survival and richness is dependent on local microclimatic factors like soil relative humidity fluctuations and temperature (Krasnov *et al.*, 2001; Ensore *et al.*, 2002; Gage & Kasey, 2005; Sternest *et al.*, 2006). Temperature has been reported to influence flea egg laying, egg survival and flea reproduction and development (Eisen *et al.*, 2012). Soil attributes are important because they influence moisture retention and humidity in burrows (Biggins, 2012). This could explain why there were few fleas in the drier Plain and more in the moist Plateau. Also, other studies have reported that the vectors encountered in a given area are related to specific locations and host species (Kennedy & Bush, 1994; Caro *et al.*, 1997; Krasnov *et al.*, 2005), and this account for the observed correlation between fleas' richness and trapped small mammals.

It is concluded that landforms and soils have a strong influence on the richness and evenness of small mammals and their flea abundance. Elevation and slope aspect are strong landform predictors, whereas available phosphorus and base saturation are strong soil predictors for richness and evenness of both small mammal and flea abundance. Abundance of fleas was mainly influenced by hill-shade and available phosphorus, organic carbon and exchangeable magnesium. Our insight into the dynamics of small mammals and fleas in relation to soils and landforms in the plague focus of the West Usambara Mountains has contributed to the debated 'plague-host-vector' relationships. This understanding may possibly facilitate better ways of managing plague host outbreaks. It was observed that most rodents which are implicated for being plague hosts were found in the upper parts of the escarpment and their habitats were identified. It is recommended to step up surveillance of rodent population in those habitats so as to check and control plague in the nearby communities.

Acknowledgements

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